

## My Name (Stock) Investment Records

For purposes of this document, my PGYXX (PIMCO Government Money Market Core liquid account) account and “cash” are one-in-the-same and can be used interchangeably.

11/30/21: Check deposit into PIMCO account \$500.00

12/9/21 Bought 3.0 Shares of ATVI Activision Blizzard for \$175.95 @ \$58.65

12/9/21 Bought 15 shares of UBSFY Ubisoft for \$149.10 & \$0.45 fee @ \$9.91

12/13/21 Bought 1.494 shares of TSM (TSMC) for \$234.19 @ \$117.095

1/3/22 EFT deposit to PIMCO account: \$900.00

1/4/22 Bought 0.381 shares of ASML for \$299.65 @ \$786.48

1/4/22 Bought 3.14 shares of GFS GlobalFoundries for \$200.96 @ \$64.00

1/11/22 Bought 25 shares of AVTX Avalo Therapeutics Inc. (Rockville, MD) for \$24.50 @ \$0.9753

1/13/22 Bought 3 shares of GLW Corning for \$115.37 @ \$38.4583

1/13/22 Bought 18 shares of UMC United Microelectronics Corp  
“UNITED MICR ELCTRNS ADR REP 5 ORD” for \$208.44 @ \$11.5799

1/13/22 Bought 0.256 shares of TSM (TSMC) for \$36.21 @ \$141.4579

Total shares to date: 1.75, total cost: \$270.40

1/13/22 Dividend TSM: \$0.74 - \$0.16 tax paid = \$0.58 cash deposit

1/19/22 Sold 3.0 (all) shares of ATVI Activision Blizzard for \$246.02 @ \$82.0101

Cost: \$175.95 - \$0.01 fee; **Profit: \$70.06**

1/24/22 Bought 2.75 shares of VFC VF Corp (com NPV) for \$249.68 @ \$66.58

2/24/22 Sold 15 shares (all) of UBSFY Ubisoft for \$152.09 @ \$10.14

Cost: \$149.55 + \$0.01 fee; **Profit: \$2.53**

2/24/22 Sold 3.14 shares of GFS GlobalFoundries for \$168.42 @ \$53.6369

Cost: \$200.96 + \$0.03 fee; **Loss: \$32.57**

2/24/22 Sold 3 shares of GLW Corning for \$119.45 @ \$39.8203

Cost: \$115.37 + \$0.01 fee; **Profit: \$4.07**

2/24/22 Sold 18 shares of UMC United Microelectronics Corp for \$162.44 @ \$9.025

Cost: \$208.44 + \$0.01 fee; **Loss: \$46.01**

2/24/22 Sold 3.75 shares of VFC VF Corp for \$213.47 @ \$56.9253

Cost: \$266.32 + \$0.02 fee; **Loss: \$52.87**

3/7/22 EFT deposit to PIMCO account: \$50.00

3/7/22 Bought 11 shares of PBF PBF Energy Inc for \$223.63 @ \$20.33

3/7/22 Bought 6 shares of HFC \* HollyFrontier Corp for \$184.50 @ \$30.75

3/7/22 Bought 1 shares of VLO Valero Energy Corporation for \$87.26 @ \$87.26

3/7/22 Bought 2 shares of DVN Devon Energy Corporation for \$121.00 @ \$60.50

3/7/22 Bought 1 shares of EOG Resources Inc for \$120.02 @ \$120.02

3/7/22 Bought 1 shares of XOM Exxon Mobil Corporation for \$85.00 @ \$85.00

3/9/22 EFT deposit to PIMCO account: \$10.00

\*3/14/22 HFC HollyFrontier Corp (6 shares) became DINO HF Sinclair corporation

3/23/22 Sold 7 shares of DINO HF Sinclair corporation for \$257.10 @ \$36.73

Cost: \$184.50 + \$0.01 fee; **Profit: \$72.59**

3/23/22 Bought 1 shares of NVDA Nvidia Corporation for \$261.50 @ \$261.50

3/29/22 Dividend EOG: \$1.00

3/29/22 (Bought) Reinvest (dividend) EOG: 0.008 shares for \$1.00 @ \$122.8988

3/31/22 Dividend DVN: \$2.00

4/19/22 (Bought) Reinvest (dividend) DVN: 0.034 shares for \$2.00 @ \$58.9919

4/19/22 Dividend TSM: \$0.83 - \$0.17 tax paid = \$0.66

4/19/22 (Bought) Reinvest (dividend) TSM: 0.007 shares for \$0.66 @ \$98.9149

5/23/22 Sold 11 shares of PBF PBF Energy Inc for \$376.32 @ \$31.36

(Co. execs were selling off \$100million of their shares)

Cost: \$223.63 + \$0.01 fee; **Profit: \$152.69**

## 6/8/22 accounting statement

Transactions to-date: Investments expenditures \$3,022.98

Investments return: gross \$1,695.31 sales + \$0.58 dividends - \$0.11 fees = \$1,695.78 net return

Current investments: \$3,022.98 - \$1,695.78 = \$1,327.20

Current invested (cost) gain/loss: \$1,327.20 + \$385.86 cash - \$1,460.00 = \$253.06 gain, 17.33%

Cash balance as of 07:45:39 a.m. EDT: \$385.86

Cash & Investments: \$385.86 + \$1,327.20 = \$1,713.06

Cash deposits to-date: \$1,460.00

Gain/loss: \$1,713.06 - \$1,460.00 = \$253.06 gain, 17.33%

Current market value gain/loss: \$1,138.95 + \$385.86 cash - \$1,460.00 = \$64.81 gain, 4.44%

6/9/22 Bought 9.25 shares of INTC Intel Corporation for \$379.95 @ \$41.076

4/19/22 Dividend EOM: \$0.88. Cash deposit: \$0.88; Cash balance \$6.49

6/16/22 Sold 1 (all) shares of VLO Valero Energy Corporation for \$122.63 @ \$122.63

Cost: \$87.26 + \$0.01 fee; **Profit: \$35.36 + \$0.98 Dividends**

6/16/22 Sold 1.034 (all) shares of DVN Devon Energy Corporation for \$124.84 @ \$120.754

Cost: \$121.00 + \$0.02 fee; **Profit: \$3.82**

6/16/22 Sold 1.008 (all) shares of EOG Resources Inc for \$123.50 @ \$122.52

Cost: \$120.02 + \$0.02 fee; **Profit: \$3.46**

6/16/22 Sold 1 (all) shares of XOM Exxon Mobil Corporation for \$92.28 @ \$92.28

Cost: \$85.00 + \$0.01 fee; **Profit: \$7.27 + \$0.88 Dividends**

6/17/22 Bought 6.536 shares of GLDM WORLD GOLD TR SPDR MINIS\* for \$239.08 @ \$36.5789

\*SPDR Gold MiniShares

6/17/22 Bought 13.54 shares of SGOL\* for \$239.12 @ \$17.66

\*ABRDN GOLD ETF TR ABRDN PHYSICAL GOLD S a.k.a. abrdn Physical Gold Shares ETF

6/30/22 Dividend DVN: \$0.88. Cash deposit: \$0.88; Cash balance \$2.59

6/30/22 Dividend EOG: \$0.88. Cash deposit: \$0.88; Cash balance \$4.40

6/30/22 Dividend PGYXX (cash fund): \$0.07. Cash deposit: \$2.58; Cash balance \$4.47

7/1/22 Dividend NVDA: \$0.04. Cash deposit: \$0.04; Cash balance \$4.51

7/8/22 Reverse split 12:1 AVTX; Prev. 24 shares now 2.

7/8/22 Reverse split 12:1 AVTX: Prev. 1 share converted to \$0.39; Cash Balance \$4.90†

7/14/22 Dividend TSM: \$0.81 - \$0.17 tax paid = \$0.64. Cash deposit: \$0.64; Cash balance \$5.54

† 7/20/22 Reverse split 7/8/22, 12:1 AVTX: Revert \$0.39 credit (loss); Cash Balance \$5.15

7/25/22 Sold 6.536 (all) shares of GLDM WORLD GOLD TR SPDR MINIS\* for \$222.91 @ \$16.46 - \$0.02 fee

\*ABRDN GOLD ETF TR ABRDN PHYSICAL GOLD S a.k.a. abrdn Physical Gold Shares ETF

Cost: \$239.08 + \$0.02 fee; **Loss: \$16.19**

7/25/22 Bought 5.75 shares of INTC Intel Corporation for \$222.80 @ \$38.7478

Total INTC shares: 15. Total Cost: \$379.95 + 222.80 = \$602.75 (\$40.1833/share)

7/25/22 Sold 6.536 (all) shares of SGOL\* for \$222.91 @ \$34.102 - \$0.02 fee

\*SPDR Gold MiniShares

Cost: \$239.12 + \$0.02 fee; **Loss: \$16.25**

7/26/22 Bought 0.433 shares of ASML for \$225.24 @ \$520.18; Total shares: 0.814 to-date

7/29/22 Dividend PGYXX (cash holding): \$0.01. Cash deposit: \$0.01; Cash balance \$2.90

† 8/1/22 Reverse split 7/8/22, 12:1 AVTX: Payout +1 share, balance 3 shares AVTX

8/12/22 Dividend ASML: \$1.14 - \$0.17 tax paid = \$0.97. Cash deposit: \$0.97; Cash balance \$3.87

8/23/22 Sold 3 (all) shares of AVTX for \$14.58 @ \$4.86

Cost: \$24.50 + \$0.01 fee; **Loss: \$9.94**

8/23/22 Sold 1 (all) shares of NVDA for \$172.59 @ \$172.59

Cost: \$261.50 + \$0.01 fee; **Loss: \$88.92 – \$0.04 dividends**

8/23/22 Available to trade: \$191.02

8/23/22 Bought 1 shares of CVX Chevron Corporation for \$161.38 @ \$161.38

8/23/22 Sold 3 shares of INTC Intel Corp. for \$102.07 @ \$34.0233

Cost: \$120.055 + \$0.01 fee; **Loss: \$18.04 – \$0.04 dividends**

8/23/22 Bought 1 shares of COP ConocoPhillips for \$108.63 @ \$108.6268

8/31/22 Dividend PGYXX (cash holding): \$0.01. Cash deposit: \$0.01; Cash balance \$23.08

9/1/22 Dividend INTC: \$5.48. Cash deposit: \$5.48; Cash balance \$28.56

9/30/22 Dividend PGYXX (cash holding): \$0.05. Cash deposit: \$0.05; Cash balance \$28.61

10/13/22 Dividend TSM: \$0.76 – 0.16 tax. Cash deposit: \$0.60; Cash balance \$29.21

10/14/22 Dividend COP: \$1.40. Cash deposit: \$1.40; Cash balance \$30.61

10/31/22 Dividend PGYXX (cash holding): \$0.07. Cash deposit: \$0.07; Cash balance \$30.68

11/14/22 Dividend ASML: \$1.09 - \$0.16 tax paid = \$0.93. Cash deposit: \$0.93; Cash balance \$31.61

11/30/22 Dividend PGYXX (cash holding): \$0.08. Cash deposit: \$0.08; Cash balance \$31.69

12/1/22 Dividend COP: \$0.51. Cash deposit: \$0.51; Cash balance \$32.20

12/1/22 Dividend INTC: \$4.38. Cash deposit: \$4.38; Cash balance \$36.58

12/2/22 Bought 0.243 shares of TSM for \$19.81 @ \$81.54; Total shares: 2 to-date

12/2/22 Cash balance \$16.77

12/12/22 Dividend CVX: \$1.42. Cash deposit: \$1.42; Cash balance \$18.19

12/30/22 Dividend PGYXX (cash holding): \$0.06. Cash deposit: \$0.06; Cash balance \$18.25

1/12/23 Dividend TSM: \$0.89 – 0.19 foreign tax. Cash deposit: \$0.70; Cash balance \$18.95

1/13/23 Dividend COP: \$0.70. Cash deposit: \$0.70; Cash balance \$19.65

1/31/23 Dividend PGYXX ("Cash" fund): \$0.06. Cash deposit: \$0.06; Cash balance \$19.71

2/15/23 Dividend ASML: \$1.20 – 0.18 foreign tax. Cash deposit: \$1.02; Cash balance \$20.73

2/28/23 Dividend PGYXX ("Cash" fund): \$0.06. Cash deposit: \$0.06; Cash balance \$20.79

3/1/23 Dividend COP: \$0.51. Cash deposit: \$0.51; Cash balance \$21.30

3/1/23 Dividend INTC: \$4.38. Cash deposit: \$4.38; Cash balance \$25.68

Total dividends INTC to 3/1/23: \$9.86

3/10/23 Dividend CVX: \$1.51. Cash deposit: \$1.51; Cash balance \$27.19

Total dividends CVX to 3/10/23: \$2.93

3/31/23 Dividend PGYXX ("cash" fund): \$0.10. Cash deposit: \$0.10; Cash balance \$27.29

Total dividends PGYXX ("cash" fund) to 3/31/23: \$0.57

4/4/22 Sold 0.04 shares of ASML for \$27.05 @ \$676.27 - \$0.01 fee; Balance 0.774 shares  
Cash balance \$54.33

Cost: \$25.7931 + \$0.01 fee; **Profit: \$1.24 + \$0.17 dividends** (4.914% of dividends)

4/4/22 Sold 1 shares (all) of CVX for \$168.67 @ \$168.6735 - \$0.01 fee; Cash balance \$222.99

Cost: \$161.38 + \$0.01 fee; **Profit: \$7.28 + \$2.93 dividends**

Profit/loss to-date: **Profit: \$77.49 + \$31.72 dividends = \$109.21** Total gain from  
CVX

4/4/22 Bought 1.73 shares of MPC MARATHON PETROLEUM CORP for \$219.95 @ \$127.1387;  
Cash balance \$3.04

4/13/23 Dividend TSM: \$0.90 – 0.19 foreign tax. Cash deposit: \$0.71; Cash balance \$3.75

4/14/23 Dividend COP: \$0.60. Cash deposit: \$0.60; Cash balance \$4.35  
Total dividends COP to 4/14/23: \$3.72

4/24/23 Sold 1 shares (all) of COP for \$102.50 @ \$102.5001 - \$0.01 fee; Cash balance \$106.84  
Cost: \$108.63 + \$0.01 fee: \$6.14 gross loss - \$3.72 dividends = net **Loss: \$2.42.**

Profit/loss to-date: **Profit: \$71.35 + \$31.72 dividends = \$103.07** Total gain from  
COP

4/24/23 Sold 1.73 shares (all) of MPC for \$207.10 @ \$125.4913 - \$0.02 fee;  
Cash balance \$323.92

Cost: \$219.95 + \$0.02 fees: \$12.87 gross loss - \$0.00 dividends = net **Loss: \$12.87.**

Profit/loss to-date: **Profit: \$58.48 + \$31.72 dividends = \$90.20** Total gain from MPC

4/25/22 Bought 8 shares of SGOL\* for \$152.32 @ \$19.04; Cash balance \$171.60  
\*ABRDN GOLD ETF TR ABRDN PHYSICAL GOLD S a.k.a. abrdn Physical Gold Shares ETF

4/25/22 Bought 4 shares of GLDM\* for \$157.92 @ \$39.48; Cash balance \$13.68  
\*SPDR Gold MiniShares

4/28/22 Transfer of PIMCO Core liquid account for cash from PGYXX to PCASH; Cash balance  
\$13.68  
PCASH: "Taxable Interest Bearing Cash Option."

4/28/23 Dividend PGYXX: \$0.07. Cash deposit: \$0.07; Cash balance \$13.75  
Total dividends PGYXX ("cash" fund) to 4/28/23: \$0.64

For purposes of this document, for period 4/29/23 through 7/19/23, my PCASH ("Taxable  
Interest Bearing Cash Option" Core liquid account) account and "cash" are one-in-the-same and  
can be used interchangeably, rather than PGYXX.

5/5/23 Sold 0.774 shares (all) of ASML for \$493.13 @ \$125.4913 - \$0.01 fee;  
Cash balance \$506.88

Profit/loss to-date: **Profit: \$22.57 + \$2.92 dividends = \$25.49** Total gain from ASML

5/5/23 Bought 13 shares of SGOL\* for \$249.80 @ \$19.2154; Cash balance \$257.08  
\*ABRDN GOLD ETF TR ABRDN PHYSICAL GOLD S a.k.a. abrdn Physical Gold Shares ETF

5/5/23 Bought 6 shares of GLDM WORLD GOLD TR SPDR MINIS\* for \$239.16 @ \$39.86;  
Cash balance \$17.92. \*SPDR Gold MiniShares

5/10/23 Dividend ASML: \$1.44 – 0.22 foreign tax. Cash deposit: \$1.22; Cash balance \$19.14  
Profit/loss to-date: **Profit: \$22.57 + \$4.14 dividends = \$26.71** Total gain from ASML

5/31/23 Interest earned PCASH: +0.04; Cash balance \$19.18

6/1/23 Dividend INTC: \$1.50. Cash deposit: \$1.50; Cash balance \$20.68  
Total dividends INTC to 3/1/23: \$11.36

6/30/23 Interest earned PCASH: +0.04; Cash balance \$20.72

7/13/23 Dividend TSM: \$0.88 – 0.18 foreign tax. Cash deposit: \$0.70; Cash balance \$21.42  
Total gain from TSM dividends \$4.59 to-date.

7/19/23 Sold 21 (all) shares of SGOL\* for \$397.11 @ \$18.91; Cash balance \$418.53  
\*SPDR Gold MiniShares

Cost: \$402.12; **Loss: \$5.01**

7/19/23 Sold 10 (all) shares of GLDM WORLD GOLD TR SPDR MINIS\* for \$391.90 @ \$39.19;  
Cash balance \$810.42

\*ABRDN GOLD ETF TR ABRDN PHYSICAL GOLD S a.k.a. abrdn Physical Gold Shares ETF  
Cost: \$397.08; **Loss: \$5.18**

7/19/23 Bought 32 shares of WU Western Union Co. for \$393.28 @ \$12.29;  
Cash balance \$417.15

7/19/23 Bought 11 shares of KHC Kraft Heinz Co. for \$396.00 @ \$36.00; Cash balance \$21.15

7/19/23 Bought 1 shares of WU Western Union Co. for \$12.29 @ \$12.29; Cash balance \$8.86  
33 shares total of WU @ \$12.29; Cost: \$405.57

7/19/23 Transfer of PIMCO Core liquid account for cash from PCASH to PGYXX;  
Cash balance \$8.86 PGYXX: "Taxable Interest Bearing Cash Option" Core liquid  
account.